



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/797,272	03/10/2004	Brian S. Higgins	1340-011	2948
4678	7590	02/17/2006	EXAMINER	
MACCORD MASON PLLC 300 N. GREENE STREET, SUITE 1600 P. O. BOX 2974 GREENSBORO, NC 27402			RINEHART, KENNETH	
			ART UNIT	PAPER NUMBER
			3749	

DATE MAILED: 02/17/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/797,272

Applicant(s)

HIGGINS, BRIAN S.

Examiner

Kenneth B. Rinehart

Art Unit

3749

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 21 December 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 21 December 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

**DETAILED ACTION*****Claim Rejections - 35 USC § 112***

Claims 1-24 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. Claim 1 refers to adjusting the reducing environment time period such that SO<sub>3</sub> is reduced to SO<sub>2</sub> prior to selective catalytic reduction to achieve a desirable level of SO<sub>3</sub>” which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. Claim 9 refers to “adjusting the reducing environment time period such that SO<sub>3</sub> is reduced to SO<sub>2</sub> to achieve a desirable level of SO<sub>3</sub>” which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. Claim 17 refers to “adjusting the reducing environment time period such that SO<sub>3</sub> is preferentially reduced to SO<sub>2</sub> to achieve a desirable level of SO<sub>3</sub>” which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

Claims 1-8 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Art Unit: 3749

Claim 1 refers to “prior to selective catalytic reduction” which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

Claims 9-16 are rejected under 35 U.S.C. 102(b) as being anticipated by Kindig (4824441). Kindig shows a) partially combusting the fuel in a first stage to create a reducing environment (col. 10, lines 51-54), b) adjusting the reducing environment time period such that SO<sub>3</sub> is reduced to SO<sub>2</sub> to achieve a desirable level of SO<sub>3</sub>; (col. 13, lines 8-23, SO<sub>3</sub> and SO<sub>2</sub> are inherently produced during combustion, and reduction is inherently occurring.), c) combusting the remainder of the fuel and combustion intermediates in a second stage with oxidizing environment, combusting the remainder of the fuel in an oxidizing environment (col. 10, lines 43-47), thereby controlling the levels of SO<sub>3</sub> in the flue gases, reducing the conversion of levels of SO<sub>3</sub> in the flue gases , thereby controlling the levels of SO<sub>3</sub> in the flue gases (col. 13, lines 20-22), micro-staging the first stage fuel combustion , the micro-staging is provided through the use of low-Nox burners (col. 12, line 43), macro-staging the first stage of fuel combustion, the macro-staging is provided through the use of over-fired air (col. 10, lines 46), including a combination of micro-staging and macro-staging (col. 12, line 43, col. 10, line 46), the micro-staging is provided by low-Nox burners and the macro-staging is provided by over-fired air (col. 12, line 43, col. 10, line 46), the fuel is coal (col. 1, line 16).

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kindig (4824441) in view of Beardmore (4504211). Kindig discloses a) partially combusting the fuel in a first stage to create a reducing environment (col. 10, lines 51-54), b) adjusting the reducing environment time period such that SO<sub>3</sub> is reduced to SO<sub>2</sub> ... to achieve a desirable level of SO<sub>3</sub>; (col. 13, lines 8-23, SO<sub>3</sub> and SO<sub>2</sub> are inherently produced during combustion, and reduction is inherently occurring.), c) combusting the remainder of the fuel and combustion intermediates in a second stage with oxidizing environment, combusting the remainder of the fuel in an oxidizing environment (col. 10, lines 43-47), thereby controlling the levels of SO<sub>3</sub> in the flue gases, reducing the conversion of levels of SO<sub>3</sub> in the flue gases, thereby controlling the levels of SO<sub>3</sub> in the flue gases (col. 13, lines 20-22), micro-staging the first stage fuel combustion, the micro-staging is provided through the use of low-Nox burners (col. 12, line 43), macro-staging the first stage of fuel combustion, the macro-staging is provided through the use of over-fired air (col. 10, lines 46), including a combination of micro-staging and macro-staging (col. 12, line 43, col. 10, line 46), the micro-staging is provided by low-Nox burners and the macro-staging is provided by over-fired air (col. 12, line 43, col. 10, line 46), the fuel is coal (col. 1, line 16). Kindig discloses applicant's invention substantially as claimed with the exception of prior to selective catalytic reduction. Beardmore teaches prior to selective catalytic reduction (col. 6, lines 56-69, col. 7,

Art Unit: 3749

lines 1-10, Catalytic reduction occurring at later stages.) for the purpose of reducing both NOX and SOX emissions. It would have been obvious to one of ordinary skill in the art to modify Kindig by including prior to selective catalytic reduction as taught by Beardmore for the purpose of reducing both NOX and SOX emissions.

***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The following patents are cited to further show the state of the art with respect to sulfur in general: Heins (4173454), Egense et al (4873930).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kenneth B. Rinehart whose telephone number is 571-272-4881. The examiner can normally be reached on 7:20 -4:20.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ehud Gartenberg can be reached on 571-272-4828. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

kbr

  
KENNETH RINEHART  
PRIMARY EXAMINER